

APPENDIX B

EVALUATION PROCESS AND TEAM COMPOSITION

The evaluation was conducted according to formal protocols and procedures, including an Appraisal Process Guide, which provides the general procedures used by the Office of Oversight program for conducting inspections and reviews, and the focused review plan, which outlines the scope and conduct of the review process. Planning sessions were conducted to ensure that all team members were informed of the review objectives, procedures, and methods. The planning process considered previously identified weaknesses, current SRS activities, and SR and WSRC management initiatives. The evaluation team collected data through interviews, document reviews, walkdowns, observation of activities, and performance testing. Interviews were conducted with SR, and contractor managers, technical staff, and hourly workers.

The Oversight evaluation and report provides an assessment of line management implementation of ISM as well as an examination of the five core functions of the ISM program, which are essential to effective work planning:

1. Define Work
2. Analyze Hazards
3. Develop and Implement Controls
4. Perform Work Within Controls
5. Feedback and Continuous Improvement

Team Composition

The team membership, composition, and responsibilities are as follows:

Deputy Assistant Secretary for Oversight

S. David Stadler (Acting)

Associate Deputy Assistant Secretary for Oversight

Ray Hardwick – Operations (Acting)
Neal Goldenberg – Technical

Director, Office of ES&H Evaluations

Patricia Worthington (Acting)
Tom Staker, Deputy (Acting)

Team Leader

William Eckroade

ISM Implementation

Al Gibson

Core Functions

Mark Good
Jim Lockridge
David Schultz
Steve Simonson
Ed Stafford
Mario Vigliani
Tony Weadock

Administrative Support

Bob McCallum
Lee Roginski
Michelle Stover
Marcia Taylor

Quality Review Board

S. David Stadler
Raymond Hardwick
Patricia Worthington
Tom Davis